**Tuesday, March** 10th, 2020

at 8AM

# **TREATMENT COURT STEERING COMMITTEE**

A SUBCOMITTEE OF THE CRIMINAL JUSTICE COLLABORATING COUNCIL COMMITTEE

# **Door County Justice** Center

Jury Assembly Room 1209 S. Duluth Avenue Sturgeon Bay, WI 54235

# **AGENDA:**

- 1. Call Meeting to Order
- 2. Roll Call
- 3. Adopt Agenda
- 4. Approve Minutes from last Meeting: February 21st, 2020 Treatment Court Steering Committee
- 5. New Business:
  - a. Wisconsin Association of Treatment Court Professionals (WATCP) Annual State

**Conference Session Registration** 

- b. Treatment Court program updates
- c. Review of Treatment Court Policies and Procedures Manual
- 6. Matters to be placed on a future agenda or to be referred to a Committee, Official or Employee
- 7. Next meeting date: April 1, 2020 at 12:00pm
- 8. Adjourn

Deviation from the order shown may occur

In compliance with Disabilities Act, any person needing assistance to participate in this meeting, should contact the Office of County Clerk at 920-746-2200. Notification 48 hours prior to a meeting will enable the County to make reasonable arrangements to ensure accessibility to that meeting.

AGENDA Posted:\_\_\_\_\_\_, 2020 by \_\_\_\_\_\_

# **Door County Treatment Court Steering Committee Minutes**

(A Subcommittee of the Criminal Justice Collaborating Council Committee)

## Meeting held Friday, February 21st, 2020

Jury Assembly Room of the Door County Justice Center 1209 South Duluth Avenue, Sturgeon Bay, WI

Note: These minutes are subject to review and approval of the Treatment Court Steering Committee

#### 1. Kelsey Christensen called the meeting to order at 8 a.m.

- 2. **Present:** Judge David L. Weber, Colleen Nordin, Joe Krebsbach, Donna Altepeter, Alyssa Liebergen, Steven Seyfer, Tara Teesch, and Kelsey Christensen.
- 3. Adopt Agenda for this meeting: Motion by J. Krebsbach, second by Judge Weber to adopt the agenda. Motion carried by unanimous voice vote.
- Approve Minutes from Last meeting: February 5<sup>th</sup>, 2020 Motion by S. Seyfer, second by D. Altepeter to approve minutes. Motion carried by unanimous voice vote.

#### 5. New Business:

#### a. Treatment Court program updates:

Judge Weber attended the Judicial Treatment Court conference 2/6/2019. Judge Weber discussed learning the benefits of motivational interviewing during the conference. K. Christensen updated that training with the Department of Justice (DOJ) on March 26<sup>th</sup> has been cancelled. K. Christensen to update team when DOJ reschedules the training.

#### b. Review of Treatment Court Policies and Procedures Manual:

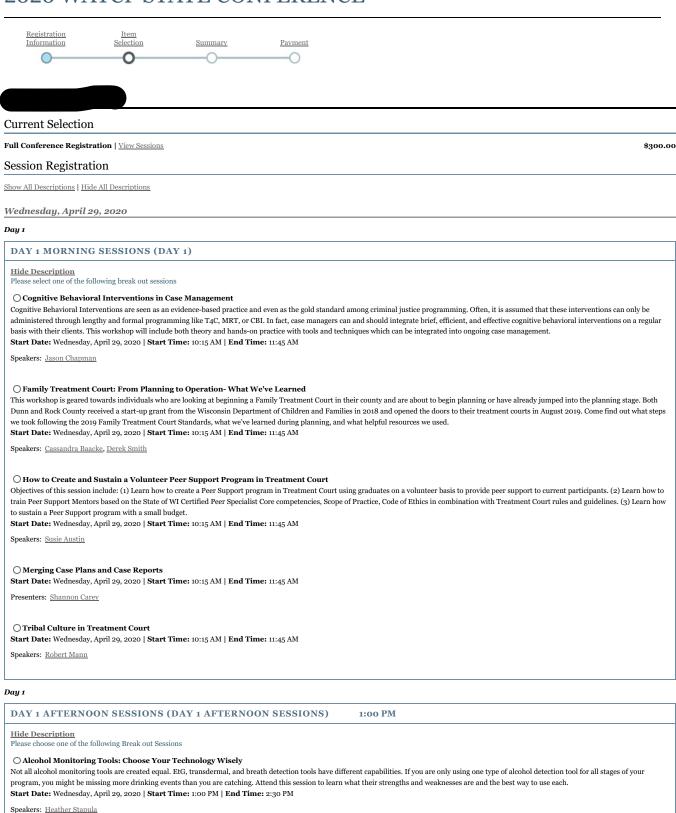
Committee reviewed and approved updates from February 5<sup>th</sup> meeting. Committee completed further updates in the policies and procedures manual to be reviewed and approved at next meeting, March 10<sup>th</sup>. Updated manual to be attached in March 10<sup>th</sup> agenda packet.

#### 6. Matters to be placed on future agenda: None.

- 7. Next Meeting Date: The next meeting will be March 10<sup>th,</sup> 2020 at 8 a.m.
- 8. **Adjourn:** Motion by S. Seyfer, second by Judge Weber to adjourn. Motion carried by unanimous voice vote. Meeting adjourned at 10 a.m.

Respectfully submitted by, Kelsey Christensen Court Services Coordinator

# 2020 WATCP STATE CONFERENCE



 $\bigcirc$  How to Use the RED TOOL and/or Equity & Inclusion Tool Kit

The Justice Programs Office (JPO), a research center in American University's School of Public Affairs in conjunction with NDCRC launched a Racial and Ethnic Disparities (RED) Program Assessment Tool to help treatment court professionals identify and examine areas where racial and ethnic disparities may exist in their court programs. NDCI has put together a toolkit which focuses on identifying the causes of equity and inclusion issues in treatment courts and provides specific steps for correction. **Start Date:** Wednesday, April 29, 2020 | **Start Time:** 1:00 PM | **End Time:** 2:30 PM Speakers: NDCI Presenter

#### ○ Integrating Peer Support into Treatment Courts

Peer support represents a powerful movement in mental health and AODA treatment and is widely seen as an evidence-based practice. Unfortunately, it can be difficult to integrate peer services or to fully leverage peer support for the benefit of the program and its clients. This session will cover the special considerations of peer support in criminal justice programing, various roles peer specialists can fill, and address supervision/quality assurance of peer support programming.

Start Date: Wednesday, April 29, 2020 | Start Time: 1:00 PM | End Time: 2:30 PM

Speakers: Jason Chapman

#### O Merging Case Plans and Case Reports

Start Date: Wednesday, April 29, 2020 | Start Time: 1:00 PM | End Time: 2:30 PM

Speakers: Shannon Carey

#### $\bigcirc$ Opiates, THC, and Oral Fluid Roadside Testing

This workshop will explain the differences in identifying Heroin, Fentanyl, and designer drugs in oral fluid using ELISA and LC/MS/MS technology. Attendees will learn how incorporating oral fluid drug testing to identify drug use and abuse can assist in addressing the current opioid epidemic and furthering the advancement of treatment courts. Roadside oral fluid testing has been used in Germany, Belgium and other countries. It is also currently being evaluated within this country in several states, including Michigan, California, Vermont, Arizona, Tennessee, and others. Michigan State Police have expanded the original oral fluid roadside drug testing pilot in 2019 to include 55 participating law enforcement agencies and Drug Recognition Experts in every county through 2020. The pilot expansion allows a greater number of police departments to participate.

Start Date: Wednesday, April 29, 2020 | Start Time: 1:00 PM | End Time: 2:30 PM

Speakers: Bridget Lorenz Lemberg

#### **MEET & GREET**

5:00 PM - 6:30 PM

10:15 AM

Complimentary

#### Hide Description

Come and network with new colleagues, meet up with old friends, mingle with vendors and speakers. Light hors d'oeuvres and beverages compliments of WATCP. Cash bar. For planning purposes, please indicate whether you plan to attend.

#### Thursday, April 30, 2020

DAY 2

#### DAY 2 MORNING SESSIONS (DAY 2 MORNING SESSIONS)

#### Hide Description

Please choose one of the following Break out Sessions

#### $\bigcirc$ 2020 Best Practices in Assessment, Supervision, & Treatment of High Risk Drunk Drivers

In 2018, there were over 1 million drivers arrested for driving under the influence and drunk drivers were responsible for 10,511 fatalities. Community justice and treatment professionals can face unique challenges in assessing risk levels for these offenders while determining the most appropriate level and type of supervision, technology and treatment needs to minimize the risk of a re-offense and a new victim. This interactive presentation will provide an overview and continuum of the most recent evidence based practices for addressing the issues of high risk drunk drivers from arrest to discharge. This includes the latest research on assessment tools, characteristics of high risk drunk drivers, community supervision strategies, available technologies, the use of Problem Solving Courts, as well as alcohol treatment professionals maximize their effectiveness while reducing risk to the community Start Date: Thursday, April 30, 2020 | Start Time: 10:15 AM | End Time: 11:45 AM

Speakers: Mark Stodola

#### $\bigcirc$ Beyond Veteran Courts—Creative Solutions to Assist Veterans in the Justice System

This session will present (and solicit) creative solutions to jurisdictions without a Veteran court or with limited access to VA Healthcare services, including: - Veteran tracks of existing treatment courts -Prison/jail efforts (such as Veteran dorms) - Law Enforcement Efforts (such as Veteran response teams) - Partnering with County, State, and other Veteran resources, including the use of VA Community Care Options - Civil Legal assistance (such as Medical Legal Partnerships) - VA Support services via the Veteran Justice Programs outside of the treatment court context WATCP members/conference attendees are potentially well-positioned to influence their jurisdictions in starting these "brother and sister" interventions/programs that can impact justice-involved Veteran outcomes, may lead to the establishment of future Veteran treatment tracks/courts, or may even augment already existing Veteran treatment courts. **Start Date**: Thursday, April 30, 2020 | **Start Time**: 10:15 AM | **End Time**: 11:45 AM

Speakers: Sandra Diech, Abigail Ziebell, Gerald Sieren, Edward Zapala

#### 🔿 Due Process in Wisconsin

Start Date: Thursday, April 30, 2020 | Start Time: 10:15 AM | End Time: 11:45 AM

Speakers: Elliott Levine, Everett Mitchell, JoDeen Lowe

#### ○ Peer Review Team / Panel

Start Date: Thursday, April 30, 2020 | Start Time: 10:15 AM | End Time: 11:45 AM

Speakers: Shannon Carey, NDCI Presenter

#### $\bigcirc$ Teleservices: Using Technology to Enhance Treatment Court Operations

In today's tech-savvy world, computers, smartphones, and videoconferencing offer new opportunities for drug courts to serve clients and address staff training needs. The Center for Court Innovation, in partnership with the Bureau of Justice Assistance, is piloting technology initiatives with problem-solving courts in several states. These jurisdictions are using technology to increase capacity, address treatment barriers, supervise clients, and provide training for staff. The courts have found that treatment services, including MAT, toxicology screening, compliance monitoring, court hearings, and staff training are all accessible remotely. Join staff from the Center for Court Innovation for a practical discussion about technology implementation and discover what technological advances are on the horizon for treatment courts. Participants will learn about current technologies being used by problem-solving courts; understand specific strategies for using technology to enhance treatment delivery, client supervision, and staff training; and learn how to access online training resources for drug court professionals.

Speakers: Sheila E. McCarthy, Monica Christofferson

#### DAY 2 DAY 2 AFTERNOON SESSIONS (DAY 2 AFTERNOON SESSIONS) 1:15 PM Hide Description choose one of the following Break out Sessions ○ Sustainability Start Date: Thursday, April 30, 2020 | Start Time: 1:15 PM | End Time: 3:15 PM Speakers: CCI Speaker ○ Transgender 101: Moving your Court Forward with the Essentials of Transgender Competencies Start Date: Thursday, April 30, 2020 | Start Time: 1:15 PM | End Time: 3:15 PM Speakers: Shelley Gregory O Tribal Law and Policy Institute Start Date: Thursday, April 30, 2020 | Start Time: 1:15 PM | End Time: 3:15 PM Speakers: NDCI Presenter 🔿 What 30 Years of MRT in Corrections has Taught Us This workshop is designed to equip attendees with the knowledge needed to make informed decisions when choosing evidence-based treatment options for adult and juvenile clients in specialty courts and corrections. An emphasis is placed on substance abuse and criminal justice statistics, as well as the results of over 30 years of implementing MRT -Moral Reconation Therapy® in a multitude of court and corrections settings. Start Date: Thursday, April 30, 2020 | Start Time: 1:15 PM | End Time: 3:15 PM Keynote Speaker: Kenneth Robinson **AMERICA IN TRANSITION** 6:00 PM - 8:30 PM Complimentary **Hide Description** 2 Short films from the award-winning, Sundance-backed documentary series exploring relationships, family, and soical issues with trans people of color across the US. Followed by Q&A. This is a non-credit offering. Please indicate whether you will be attending so that we can plan for an appropriate sized room. Speakers: Cameron Overton Friday, May 1, 2020 DAY 3 DAY 3 BREAKOUT SESSIONS (DAY 3 BREAKOUT SESSIONS) 10:45 AM Hide Description Please choose one of the following Break out Sessions O Drug/Hybrid Court Networking and Collaboration Session In response to feedback from past conference surveys, this session gives you and your team the opportunity to network and collaborate with other Drug Courts and Hybrid Treatment Courts from Wisconsin. This guided discussion will allow participants to brainstorm issues with their team, share ideas and resources that have been successful with the group, and gain new insights into the delivery of services to those you serve on your court. Start Date: Friday, May 1, 2020 | Start Time: 10:45 AM | End Time: 12:00 PM Speakers: Brad Schraven O Family Treatment Court Networking and Collaboration Session In response to feedback from past conference surveys, this session gives you and your team the opportunity to network and collaborate with other Family Treatment Courts from Wisconsin. This guided discussion will allow participants to brainstorm issues with their team, share ideas and resources that have been successful with the group, and gain new insights into the delivery of services to those you serve on your court Start Date: Friday, May 1, 2020 | Start Time: 10:45 AM | End Time: 12:00 PM Speakers: Rebecca Foley $\bigcirc$ Mental Health Treatment Court Networking and Collaboration Session In response to feedback from past conference surveys,, this session gives you and your team the opportunity to network and collaborate with other Mental Health Treatment Courts from Wisconsin. This guided discussion will allow participants to brainstorm issues with their team, share ideas and resources that have been successful with the group, and gain new insights into the delivery of services to those you serve on your court. Start Date: Friday, May 1, 2020 | Start Time: 10:45 AM | End Time: 12:00 PM **OWI Court Networking and Collaboration Session** In response to feedback from past conference surveys, this session gives you and your team the opportunity to network and collaborate with other OWI Courts from Wisconsin. This guided discussion will allow participants to brainstorm issues with their team, share ideas and resources that have been successful with the group, and gain new insights into the delivery of services to those you serve on your court

Start Date: Friday, May 1, 2020 | Start Time: 10:45 AM | End Time: 12:00 PM

Speakers: Adam Haase

🔿 Tribal Healing to Wellness Court Networking and Collaboration Session

In response to feedback from past conference surveys, this session gives you and your team the opportunity to network and collaborate with other Tribal Healing to Wellness Courts from Wisconsin. This guided discussion will allow participants to brainstorm issues with their team, share ideas and resources that have been successful with the group, and gain new insights into the delivery of services to those you serve on your court.

Start Date: Friday, May 1, 2020 | Start Time: 10:45 AM | End Time: 12:00 PM

Speakers: Mary Jo Hunter, Robert Mann

#### 🔿 Veterans Court Networking and Collaboration Session

In response to feedback from past conference surveys, this session gives you and your team the opportunity to network and collaborate with other Veterans Courts from Wisconsin. This guided discussion will allow participants to brainstorm issues with their team, share ideas and resources that have been successful with the group, and gain new insights into the delivery of services to those you serve on your court.

Start Date: Friday, May 1, 2020 | Start Time: 10:45 AM | End Time: 12:00 PM

Speakers: Abigail Ziebell, Edward Zapala

# DOOR COUNTY ADULT TREATMENT COURT

POLICIES AND PROCEDURES MANUAL DRAFT 2/21/2020

# TABLE OF CONTENTS

INTRODUCTION	3
MISSION STATEMENT	3
GOALS AND OBJECTIVES	4
TREATEMENT COURT TEAM MEMBERS	6
STAFFING	6
ROLES AND RESPONSIBILITIES OF TREATMENT COURT TEAM MEMBERS	7
TARGET POPULATION	9
ELIGIBILITY AND DISQUALITICATION CRITERIA	
ELIGIBILITY	
DISQUALIFICATIONS	
REFERRAL PROCESS	11
SCREENING AND ASSESSMENT PROCESS	
ADMISSION PROCESS	÷
STATUS HEARINGS	
PHASE STRUCTURE	
PHASE ONE	
PHASE TWO	
PHASE THREE	
PHASE FOUR	
PHASE FIVE	
PHASE SIX	
GRADUATION	
CONTINUING CARE PHASE	17
INCENTIVES AND SANCTIONS	
INCENTIVES	
SANCTIONS	
TERMINATIONS	19
VOLUNTARY REMOVAL	20
TREATMENT SERVICES	20
COMMUNITY SUPERVISION	
DRUG AND ALCOHOL TESTING PROTOCOL	
PROGRAM FEES	21

PARTICIPANT RIGHTS AND GRIEVANCE PROCEDURE	<u>22<del>21</del></u>
CONFIDENTIALITY	22
TREATMENT COURT STEERING COMMITTEE	24
FORMS	<u>25</u> 24
MEMORANDUMS OF UNDERSTANDING	<u>25</u> 24
PARTICIPANT CONTRACT	<u>31</u> 24

# INTRODUCTION

Treatment Courts are built upon a unique partnership between the criminal justice system and the Alcohol Other Drug Abuse (AODA) treatment community, one which structures treatment intervention around the authority and personal involvement of a single Treatment Court Judge. Treatment Courts are also dependent upon the creation of a non-adversarial courtroom atmosphere where a single Judge and a dedicated treatment court team of court officers and staff work together toward a common goal of breaking the cycle of drug abuse and criminal behavior. Because of the unique problems and opportunities that present themselves in working with drug-involved criminal offenders, treatment and rehabilitation strategies must be evidence based and must therefore recognize:

Substance abuse users are most vulnerable to successful intervention when in the crisis of initial arrest and incarceration; therefore, intervention must be immediate and up-front.

Preventing gaps in communication and ensuring offender accountability are critically important. Therefore, court supervision must be highly coordinated and very comprehensive.

Substance use disorders are longstanding, debilitating, and insidious conditions; therefore, treatment must be long-term and comprehensive.

Substance use disorders seldom exist in isolation from other serious problems that undermine rehabilitation, so treatment must include integration of other available services and resources such as educational and vocational assessment.

Relapse and intermittent advancement are part of the recovery process, so progressive sanctions and incentives must be integral to the Treatment Court strategy.

# **MISSION STATEMENT**

The mission of the Door County Treatment Court is to use an evidence-based judicial process to enhance public safety and restore sober, productive, and law-abiding citizens to the community.

# GOALS AND OBJECTIVES

Goals	Objectives	Activities	Measures of Impact/Outcome
What are the long-term goals of the program/court?	What are the steps or intermediate goals that will support achievement of the long-term goals?	What is the program doing or what services are being delivered to help meet the program goals and objectives?	What measures will be used to determine whether or not the program is meeting the goals and objectives?
1. To reduce recidivism rates for nonviolent offenders and increase public safety.	A. Complete development and begin implementation of the Treatment Court program.	Hire Coordinator by January Consistent meetings of Treatment team to work on procedural processes.	Finalize Policy and Procedure Manuals by April of 2020 Complete MOUs and have all parties sign by April 2020 Coordinator to be trained in use of COMPAS by March 28 <sup>th</sup> ,
	B. Identify 10-15 participants during the first year to screen and accept into the program.	Referrals made to program by DA and PD Completion of COMPAS for each eligible individual referred Individualized assessment for Tx needs and readiness Review of each case by the treatment team and determination of acceptance/rejection based on established criteria.	2020. Accept 10 – 15 individuals into the program by Dec. 2020. 75% of all COMPAS assessments completed within 10 business days from date of referral 90% of AODA assessments within 10 business days of referra from Coordinator. 100% of assesses individuals reviewed by the full team.
	C. Have accepted participants begin the treatment court program.	Plead guilty or no-contest to charges and accept conditions of treatment court	70% of eligible and referred individuals join the program.

2. To reduce prison and jail populations.	A. To provide eligible participants a treatment court option as an initial alternative to a sentence in jail or prison or as an alternative to revocation of probation.	Provide individualized treatment for participants. Completion of Moral Recognition Therapy (MRT) – behavioral treatment curriculum. Random Drug Screening as per policy.	40% decrease in re- offenses as compared to the three previous years CCAP convictions of each participant. 50% reduction of jail days served as compared to others with similar charges not participating in the program.
3.	Α.		
Identify Door County revenue sources and/or cost saving that could account for program sustainability.	Determine the number of reduced jail bed days by participants.	Track the number of jail bed days stayed by all participants who enter the program. Determine potential income available for renting out DC jail beds days based on the days calculated.	Each January, the coordinator will tabulate the bed days that were ordered but not serviced by participant in the previous year. Calculate a cost savings based on Sheriffs rate
	B. Track other areas of impact on County budget, such as child placement costs avoided, decrease in police contact, and avoided DA involvement.	Identify have a clear case that would involve an out of home placement of a child either in Kinship or Foster Care placement	At the end of each year, determine the cost savings in other areas by multiplying the number of days stayed by the

Review contact by police in the 12

months prior to arrest and time in

After twelve months of operation,

explore alternative court options

best serve Door County.

program and the benefits.

Treatment Court Team will do

marketing and outreach to make

community members aware of the

based on case data. Determine if and

what type of court expansion would

program.

C. Expansion of participant/

Mental Health and/or OWI

Court.

treatment options to include a

D. Explore alternative options

for Treatment Court revenues.

Receive any additional

support from entities

outside of county

government

costs of care per day following the

Calculate decreased

number of contacts by all treatment court participants for each

of the program.

year.

participants completion

# TREATEMENT COURT TEAM MEMBERS

The Door County Adult Treatment Court team, also known as the Treatment Court Team, shall consist of the following:

- A Circuit Court Judge
- A Treatment Court Coordinator
- A Probation and Parole Agent
- A representative from the District Attorney's Office
- A representative from the Public Defender's Office/Defense Attorney
- Treatment Personnel
- An Evaluator
- A representative from Law Enforcement
- A representative from the employment community
- A representative from the recovery community

#### **Current Treatment Court Team members include:**

David Weber, Judge, Branch 2 Circuit Court, Door County Colleen Nordin, District Attorney, Door County District Attorney's Office Tara Teesch, Public Defender, State Public Defender's Office Alyssa Liebergen, Corrections Field Supervisor, Wisconsin Department of Corrections Kim Bridenhagen, Agent, Probations and Parole, Wisconsin Dept. of Corrections Sara Siefert, Agent, Probations and Parole, Wisconsin Dept. of Corrections Tammy Sternard, Sheriff, Door County Sheriff's Office Joe Krebsbach, Director, Door County Health and Human Services Donna Altepeter, Behavior Health Manager, Door County Health and Human Services Kelsey Christensen, Court Services Coordinator, Door County Adult Drug Treatment Court Stephen Seyfer, Program Evaluator, Retired School Superintendent

## **STAFFING**

Treatment Court is held weekly on Mondays at 1pm. The Treatment Court judge presides over all Treatment Court proceedings, except as ordered by the Court.

A closed staffing of the Treatment Court Team shall occur for the one hour immediately preceding Treatment Court. At the staffing, the Treatment Court Team advises the Treatment Court Judge of the progress or any violation of each Treatment Court participant.

During the first year the team also meets once a month to discuss program development. In these meetings the program evaluator ensures that the Treatment Court program adheres to best practices, addresses inclusivity, and is keeping with the Treatment Courts key components. For additional oversite on program standards, the Treatment Court team also meets with the Treatment Court Steering Committee every other month.

# ROLES AND RESPONSIBILITIES OF TREATMENT COURT TEAM MEMBERS

Each team member has a responsibility to attend all Treatment Court Team staffings, meetings, and Treatment Court hearings. It is important each team member maintains ongoing communication and shares information and participant progress with all Treatment Court Team members in a timely fashion. During each staffing, each team member recommends appropriate sanctions and incentives. In keeping with best practice, team members are also expected to work together to achieve Treatment Court goals, comply with grant and state regulations, and maintain confidentiality of each participant in the Treatment Court.

ROLE TITLE	RESPONSIBILITIES
COORDINATOR	<ul> <li>Evaluate potential participants for eligibility</li> <li>Conduct assessments and intake</li> <li>Make referrals for programming as needed</li> <li>Verify &amp; monitor participant compliance with program and treatment requirements</li> <li>Collect all relevant information and distribute at Treatment Court team meetings</li> <li>Coordinate and monitor all Treatment Court drug testing</li> <li>Gather and input data for evaluator</li> <li>Monitor data management system</li> <li>Supervise day-to-day operations</li> <li>Maintain a confidential file on each participant</li> <li>Monitor budget and financial management</li> <li>Review policies and practices and monitor need for changes</li> <li>Facilitate team/staff meetings</li> <li>Set agenda for meetings</li> <li>Act as community liaison</li> <li>Help coordinate funding sources/Grant Writing/Grant Management</li> </ul>
DEPARTMENT OF CORRECTIONS	<ul> <li>Provide community supervision of each participant</li> <li>Complete COMPAS assessment if not already completed</li> <li>Make referrals to community resources</li> <li>Carry out drug testing per standard DOC policy</li> <li>Perform home/school/employment visits</li> <li>Monitor compliance through meetings with collateral contacts</li> <li>Monitor and encourage participant's compliance with programs</li> <li>Impose sanctions and rewards</li> <li>Work with DA's office and Defense Attorney to identify potential participants for Alternatives to Revocation</li> <li>Gather data for the evaluator</li> </ul>

DISTRICT ATTORNEY'S OFFICE	<ul> <li>Review potential participants for eligibility</li> <li>Make referrals to Treatment Court</li> <li>Maintain a non-adversarial role during Treatment Court proceedings</li> <li>Ensure compliance with State law</li> <li>Negotiate and complete plea agreements on behalf of the State</li> <li>Educate peer professionals on effectiveness of program and changes in state law that affect the program</li> <li>Act as community advocate for effectiveness of program</li> <li>Make recommendations for charging and/or sentencing after revocation if the participant is terminated from the program</li> <li>Work with Defense Attorney and DOC to identify potential participants</li> </ul>
EVALUATOR	<ul> <li>Evaluate process and outcomes</li> <li>Give information to help improve the Treatment Court and make it more successful</li> <li>Help facilitate team understanding of areas needing improvement</li> <li>Set up evaluation process – what and how information will be collected and maintained, how analyzed, etc.</li> </ul>
JUDGE	<ul> <li>Preside over courtroom proceedings</li> <li>Explain legal rights, options, and program requirements at orientation and confirm them with each participant as they enter the program</li> <li>Impose appropriate incentives and sanctions</li> <li>Review treatment progress and address it directly with the participant in Court, considering the recommendations of the Treatment Court Team</li> <li>Supervise Treatment Court administration</li> <li>Ensure compliance with Treatment Court program rules by participants</li> <li>Provide final decision in outcome of participant's progress or termination</li> </ul>
LAW ENFORCEMENT REPRESENTATIVE	<ul> <li>Assist DOC in home visits and checks on participants</li> <li>Allow for incarcerated participants to attend treatment and jail services as requested by the participant and the Treatment Court Team</li> <li>Facilitate Treatment Court attendance and supervision for incarcerated participants</li> </ul>
PUBLIC DEFENDER'S OFFICE/DEFENSE ATTORNEY	<ul> <li>Discuss pros and cons with potential participant before entering Treatment Court</li> <li>Review cases for potential legal issues</li> <li>Discuss resolution of case with District Attorney before entering Treatment Court</li> <li>Remain accessible to participant</li> <li>Advocate for fair process</li> </ul>

	<ul> <li>Be prepared to practice a different kind of law in non-adversarial manner</li> <li>Facilitate representation for the participant in termination proceedings if eligible</li> <li>Work with DA's Office and DOC to identify potential participants</li> </ul>
TREATMENT PROVIDER	<ul> <li>Provide treatment using evidenced based practices</li> <li>Conduct and verify assessments and referrals as needed</li> <li>Monitor progress of participant through Stages of Change</li> <li>Establish rapport with participant</li> <li>Ensure signing of all confidential releases required for communication with Treatment Court Team</li> <li>Coordinate/Advocate for pro-social activities</li> </ul>
EMPLOYMENT COMMUNITY REPRESENTATIVE	<ul> <li>Provide participant educational and vocational resources where necessary</li> <li>Oversee participant's vocational and educational programming</li> <li>Serve as liaison between Treatment Court and employment community</li> </ul>
RECOVERY COMMUNITY REPRESENTATIVE	<ul> <li>Connect participants to recovery-supportive resources that are instrumental to sustaining recovery</li> <li>Serve as a liaison to formal and informal community supports, resources, and recovery-supporting activities</li> </ul>

# TARGET POPULATION

The Door County Treatment Court targets high-risk and high-need, non-violent offenders where there is reasonable evidence the offender's criminal activity is connected directly to the ongoing, habitual abuse of substances. Due to the nature of the substance abuse, and participants' past criminal history, the Treatment Court targets offenders who require more intensive focus on treatment, monitoring, and judicial intervention.

All participants have equitable access, without any prejudices towards a person's race, socioeconomic standing, ethnic origins, gender, sexual orientation, physical ability, any other protected class, and inability to pay restitution or programs fees or inability to perform community service. To ensure the Treatment Court complies with these standards, the program evaluator addresses any team member's concerns, and helps to identify any intrinsic bias. In addition to the evaluator, Treatment Court Team also allows for checks and balances, and is encouraged to hold each other accountable.

# ELIGIBILITY AND DISQUALITICATION CRITERIA

# ELIGIBILITY

In order to qualify offenders must meet the following criteria:

- Must be a resident of Door County and remain a resident throughout their Treatment Court programing
- Have a moderate to severe substance use disorder diagnosis and need for treatment
- Substance use cannot be limited to alcohol
- 17 years or older
- Must score medium to high risk on the COMPAS assessment for general recidivism and high criminogenic needs.
- Current felony drug offense or drug-related property offense (e.g. felony theft, forgery)
- Cannot have an offense or history of an offense that would identify them as an <u>commercial</u> <u>enterprise</u> drug trafficker
- Non-violent crime with no history of violence

The Door County Treatment Court Team recognizes substance use disorders contribute to a wide variety of criminal acts. As a result, the program will focus on substance use related offenses not only drug offenses. Individuals who have both substance use disorders and a general mental health disorder are eligible. Adult offenders assessed as high risk and high need with a substance use disorder are eligible for the program.

# DISQUALIFICATIONS

Potential candidates meeting the following criteria will be ineligible for admission to the Door County Adult Treatment Court Program:

- Nonresident of Door County
- 16 years or younger
- Mild Substance Abuse Disorder diagnosis
- Serious debilitating mental illness diagnosis
- COMPAS assessment score of general recidivism risk of low and COMPAS assessment score of criminogenic need scales of low
- The individual has one or more prior convictions for a felony involving the use or attempted use of force against another person with the intent to cause death or serious bodily harm
- The individual has been charged with or convicted of an offense in a pending case, and during the course of the offense, the person carried, possessed, or used a dangerous weapon, the person used force against another person, or a person died or suffered serious bodily harm
- The individual is a registered sex offender or has any pending charges related in nature

# **REFERRAL PROCESS**

Referrals to the Door County Treatment Court can be made by either the assigned prosecutor, the defense attorney, or the defendant (if unrepresented by counsel). A referral is made after the criminal charges are issued, but before the defendant has entered a plea of guilty or no contest.

The Department of Corrections may also refer an individual who is currently on supervision and may be facing revocation as a form of an alternative to revocation (ATR). This type of referral should be made prior to the decision to revoke.

Ideally, a referral should be received early on in the court process and prior to a plea of guilty or no contest so if the defendant is accepted into treatment court, the individual may begin the program as soon as possible. It is anticipated the average wait from referral to admission is approximately 30-60 days from the filing of criminal charges or referral from Department of Corrections.

# SCREENING AND ASSESSMENT PROCESS

Once a referral is made to the Door County Treatment Court, the treatment court coordinator conducts a risk-based assessment utilizing the Correctional Offender Management Profiling Alternative to Sanctions (COMPAS) tool. The COMPAS tool addresses individualized information specifically designed to determine -criminogenic risk and needs of the individual referred. The Treatment Court accepts participants into the Treatment Court program that score medium to high criminogenic risk with high needs.

The treatment court coordinator also uses the UNCOPE screener tool for additional AODA qualifications. The UNCOPE screener tool consists of six questions that provide a quick means of identifying risk for abuse and dependence for alcohol and other drugs. Two or more positive responses indicates possible abuse or dependence and the need for further assessment. The participant must have a score of 2 or above on the UNCOPE to be referred to a certified AODA counselor.

Once referred to an AODA counselor, the counselor completes Level of Care Inventory (LOCI) to identify readiness for treatment and determine the individual's level of care for their substance use. The AODA counselor also ensures the individual meets the requirement for diagnosis for a moderate to severe substance use disorder.

# ADMISSION PROCESS

As the program is seen as voluntary, once an individual has expressed interest and theOnce the above assessment process is complete, the coordinator brings the information to the Treatment Court Team to staff eligibility and appropriateness of an individual's admission into Treatment Court. If the individual meets all the eligibility requirements, the team will come to a consensus to admit the individual into Treatment Court. Should the team have a disagreement of admission, the judge will have final say over the individual's admission. The District Attorney's office also detains the right to veto individuals from participation.

Upon approval from the Treatment Court Team, the individual then enters a plea of guilty or no contest. With that plea, individuals are placed on probation and Treatment Court participation becomes condition of probation.

# **STATUS HEARINGS**

Treatment Court is a priority and is a specialized, separate court that operates on a weekly basis and is dedicated to the assessment, treatment, and supervision of participants. The Treatment Court judge presides over all Treatment Court proceedings. Treatment Court is held in Branch 2 on Mondays and begins promptly at 1pm. The Treatment Court Team meets prior to court at 12pmfor staffings. During each Treatment Court Hearing, the Treatment Court Judge discusses the case with each Treatment Court participant and any relevant party. Sanctions or interventions are imposed for any violations. If sanctions are imposed, law enforcement is available to take participants into custody if necessary.

All Treatment Court participants must attend scheduled times, unless otherwise excused by the Treatment Court Judge or Treatment Court Coordinator. Participants are required to stay for the entire court hearing unless given prior approval to leave early Tardiness to Court is not tolerated and may result in a sanction. Participants' statements or comments made in Treatment Court may not be used against him/her.

# PHASE STRUCTURE

Each of the 6 phases have requirements necessary to complete prior to advancing to the next phase. In addition to the individualized phase requirements, the following are requirements expected of participants during each phase:

- Remain honest with Treatment Court Team members and service providers
- Maintain strict compliance with random alcohol and drug testing at least twice a week throughout the entirety of the Treatment Court
- Participant and be engaged in recommended treatment plans, as determined by the treatment provider
- Adhere to random home and employment visits as determined by DOC policies and procedures
- Participate and complete Moral Recognition Therapy (MRT)

# PHASE ONE

# **CHANCE: Acute Stabilization**

The minimum requirements for the successful completion of Phase One are as follows:

Length of phase:	A minimum of 60 days
Requirements:	<ul> <li>Attend Treatment Court weekly</li> <li>Meet weekly face-to-face with Treatment Court Coordinator and Probation Agent</li> <li>Create a case plan with probation agent to address people, places and things.</li> <li>Engage with treatment</li> <li>Address housing issues, if needed</li> <li>Obtain medical assessment, if needed</li> <li>Attain of health insurance if lack health insurance</li> <li>Maintain total abstinence for a minimum of 14 consecutive days at the time of phasing from the use of alcohol, illegal, or non-prescribed drugs (no misses, dilutes, positives, or admissions)</li> <li>Have no unexcused absences from Treatment Court sessions and scheduled services/appointments for a minimum of 30 consecutive days</li> <li>Application must be made to the court for phase advancement. The Phase I Phase Change Packet must be submitted 2 weeks prior to phasing</li> </ul>

PHASE TWO CHALLENGE: Clinical Stabilization The minimum requirements for the successful completion of Phase Two include all of the following requirements:		
Length of phase:	A minimum of 90 days	
Requirements:	<ul> <li>Attend Treatment Court weekly</li> <li>Meet weekly face-to-face with Treatment Court Coordinator and Probation Agent</li> <li>Review case plan with Probation agent</li> <li>Continue to address people, places and things</li> <li>Continue addressing medical needs</li> <li>Identify other needs</li> <li>Enroll in recommended We Are Hope, Inc. program, if needed</li> <li>Obtain a budget assessment</li> <li>Attend a minimum of <u>2</u>4 support group meeting per week</li> <li>Establish a payment plan for court fines and restitution, if any was ordered</li> <li>Develop housing plan to obtain or maintain housing</li> </ul>	

<ul> <li>Maintain total abstinence for a minimum of 30 consecutive days at the time of phasing from the use of alcohol, illegal, or non-prescribed drugs (no misses, dilutes, positives, or admissions)</li> <li>Have no unexcused absences from Treatment Court sessions and scheduled services/appointments for a minimum of 45 consecutive days</li> </ul>
Application must be made to the court for phase advancement. The Phase 2 Phase Change Packet must be submitted 2 weeks prior to phasing.

PHASE THREE CHOICE: Pro-Social Habilitation		
The minimum requirements j	for the successful completion of Phase Three include all of the following requirements:	
Length of phase:	A minimum of 90 days	
Requirements:	<ul> <li>Attend Treatment Court bi-weekly, unless the team determines more court support is appropriate</li> <li>Meet bi-weekly face-to-face with court services coordinator and probation agent, or as directed by court services coordinator and probation agent</li> <li>Review Case Plan with probation agent and establish prosocial activities</li> <li>Maintain stable housing</li> <li>Demonstrate healthy people, places and things</li> <li>Attend a minimum of <u>24</u> support group meetings per week</li> <li><u>Begin MRT - Criminal Thinking group</u></li> <li>Develop Relapse Prevention Plan</li> <li>Must be employed or in some vocational or educational program</li> <li>Maintain total abstinence for a minimum of 45 consecutive days at the time of phasing from the use of alcohol, illegal, or non-prescribed drugs (no misses, dilutes, positives, or admissions)</li> <li>Have no unexcused absences from Treatment Court sessions and scheduled services/appointments for a minimum of 45 consecutive days</li> </ul>	

# PHASE FOUR

# **CHANGE: Adaptive Habilitation**

The minimum requirements for the successful completion of Phase Four include all the following requirements:

Length of phase:	A minimum of 90 days
Requirements:	<ul> <li>Attend Treatment Court monthly, unless the team determines more court support is appropriate</li> <li>Meet twice per month face-to-face with court services coordinator and probation agent, or as directed by court services coordinator and probation agent</li> <li><i>Completion of MRT- Criminal Thinking group</i></li> <li>Attend a minimum of 2 support group meetings per week</li> <li><u>Obtain Sponsor Provide support person signatures weekly</u></li> <li>Must be employed or in some vocational or educational program</li> <li>Participate in prosocial activities</li> <li>Maintain stable housing</li> <li>Establish payment plan with the Clerk of Court and/or DOC and be paying</li> <li>Maintain total abstinence for a minimum of 60 consecutive days at the time of phasing from the use of alcohol, illegal, or non-prescribed drugs (no misses, dilutes, positives, or admissions)</li> <li>Have no unexcused absences from Treatment Court sessions and scheduled services/appointments for a minimum of 60 consecutive days</li> </ul>

PHASE FIVE				
CARE: Maintenance				
The minimum requirements for the successful completion of Phase Five include all of the following requirements:				
Length of phase:	A minimum of 90 days			
Requirements:	<ul> <li>Attend Treatment Court monthly, unless the team determines more court support is appropriate</li> <li>Meet once per month face-to-face with court services coordinator and probation agent, or as directed by court services coordinator and probation agent</li> <li>Develop a continuation care plan with AODA treatment provider</li> <li>Attend a minimum of 2-1 support group meeting per week</li> <li>Provide support person signatures weekly</li> <li>Maintain employment or enrollment in school</li> <li>Show ability to remain committed participation in prosocial activities</li> <li>Make consistent payments of court monetary obligations</li> </ul>			

<ul> <li>Maintain total abstinence for a minimum of 90 consecutive days at the time of phasing from the use of alcohol, illegal, or non-prescribed drugs (no misses, dilutes, positives, or admissions)</li> <li>Have no unexcused absences from Treatment Court sessions and scheduled services/appointments for a minimum of 90 consecutive days</li> </ul>
Application must be made to the court for phase advancement. The Phase 5 Phase Change Packet must be submitted 2 weeks prior to phasing

PHASE SIX					
COMPLETION: Pre- Gra	aduation				
The minimum requirements for the successful completion Phase Six include all of the following requirements:					
Length of phase:	A Maximum of 30 days				
Requirements:	<ul> <li>Attend Treatment Court as directed by court services coordinator</li> <li>Demonstrate an achieved understanding of personal problems of addiction, criminal behavior, and relapse prevention</li> <li>Maintain gainful, consistent employment or sufficiently involved in a vocational or educational program</li> <li>Be able to show improved stable living arrangements and healthy interpersonal relationships as determined by the Treatment Court Team</li> <li>Maintain total abstinence for a minimum of <u>90-30</u> consecutive days at the time of phasing from the use of alcohol, illegal, or non-prescribed drugs (no misses, dilutes, positives, or admissions)</li> <li>Have no unexcused absences from Treatment Court sessions and</li> </ul>				
	scheduled services/appointments for a minimum of 90-30 consecutive days				
	Graduation application must be completed and approved by the Treatment Court Team within 30 days of starting Phase Six				

# GRADUATION

Upon successful completion of all six phases, including the payment of all assessed fees, completion of community service hours, and upon recommendation of the Treatment Court Team, the Team shall declare the participant a graduate of the Door County Adult Treatment Court. <u>Each graduate then</u> <u>participates in a The graduation ceremony is a celebration</u>-honoring the completion of all established graduates. <u>The court service coordinator notifies graduates of graduation ceremony date and time.</u>

A graduation<u>Graduation</u> ceremon<u>ies arey is</u> held to celebrate the <u>eachparticipants graduate's</u> dedication and completion of program requirements. Each graduate is presented with a certificate and letter of completion by the Treatment Court Team. Upon graduation, all Treatment Court Program Graduates have the option to continue in the Continuing Care Phase of the program.

# CONTINUING CARE PHASE

The optional Continuing Care Phase is the duration of the graduate's remaining time on probation and for a maximum of six months. The purpose of the phase is to assist recent graduates during the transition period as they <u>continue to</u> develop healthy practices to maintain long-term sobriety. In this phase, graduates agree to keep the following commitments:

- Maintain complete sobriety from drugs and alcohol
- Meet at least once per month with the treatment provider, or as recommended by provider
- Attend at least one recovery meeting each week
- Meet with the probation agent as directed by the agent
- Maintain stable housing
- Maintain a weekly work/school schedule
- Meet with the Treatment Court Coordinator once per month
- Complete no more than one UA per month (optional)

# INCENTIVES AND VIOLATIONS AND SANCTIONS

The Door County Treatment Court utilizes positive reinforcements to assist participants to learn new skills and make positive behavioral changes. Incentives for positive behavior changes are used to promote sustained behavior changes. Participants receive program rules and expectations as part of their participant handbook. Rules are consistent and are swiftly enforced with graduated incentives and sanctions to encourage participants positive compliance with the program.

The Treatment Court Team collaborates to provide advice on incentives and sanctions but the Judge makes the final decision concerning imposition of incentives and sanctions. Incentives and sanctions are discussed on an individualized basis during Treatment Court Team staffings. If an urgent need arises, the coordinator schedules an emergency Team meeting. The coordinator records all incentives and sanctions received for each participant in the Northpointe computer system.

Certain behaviors are expected of each Treatment Court participant while in the program. These behaviors increase the likelihood of successful completion from the Treatment Court program. They include:

- The individual taking responsibility for their own behavior (both good and bad)
- No Probation rule violations
- No new arrests or criminal charges
- Attend AA/Relapse Prevention Groups and complete a 12-Step Program<u>Attend substance use</u> support groups
- Attend and complete other community programming
- On-time and present for all Treatment Court proceedings

- Obtain and maintain employment and residential stability
- No unexcused missed appointments with probation agent, coordinator, treatment providers
- Positive reports from collateral sources (spouse, parent, employer, etc.)
- If applicable, attend school and obtain HSED/GED
- Pay financial obligations as directed and in full
- No missed or positive drug or alcohol screens
- No verbally or physically abusive or threatening behavior
- Maintain a well-kept home with no contraband
- Maintain clean personal appearance
- Promotion to next phase
- Completion of community service hours
- If applicable, take all prescribed medications
- Obtain Driver's License
- Identify self as a Treatment Court Participant to Police if stopped for any reason
- Identify self as a Treatment Court Participant to all health care providers

# **INCENTIVES**

Incentives for maintaining sobriety and abiding by the conditions of the Treatment Court Program and Probation may include, but are not limited to, the following:

- Having a treatment team invested in the participant's success
- Positive feedback/praise from the Judge, TX team member, or family member
- Early Up in Court
- Applause in Court
- Special acknowledgement for maintaining sobriety & other accomplishments
- Increased privileges
- Lowered reporting standards with the Coordinator and Probation Agent
- Before and after pictures
- Education about community resources
- Phase acceleration
- Early termination from probation
- Phone cards
- Ride vouchers
- Graduation ceremony
- Gift Certificates
- Fish Bowl drawings for appearance and extra slips

A participant's appearance in Treatment Court qualifies them for one entry into the fish bowl drawing. Each participant has the opportunity to write their name on a slip of paper to be placed in the fish bowl. They may receive additional entries for good behavior as determined by the Treatment Court Team. At the end of each court session, one entry is drawn from the fish bowl to win a gift certificate.

# SANCTIONS

Any Violations of the rules and phase requirements of the Treatment Court may result in the imposition of sanctions as determined by the Treatment Court Team and imposed by the Judge. The Treatment Court Team may individualize sanctions as deemed appropriate. Sanctions may include, but are not limited to, the following:

- Verbal warning
- Admonishment by the Judge or a Treatment Team member
- Letter of apology
- Curfew
- Limitation or decrease in privileges
- Essays
- Journaling
- Peer review
- Community service hours
- Take away driving privileges
- Electronic Monitoring (EMP)
- Increased supervision and/or drug or alcohol testing
- Repeat a program phase
- Disciplinary custody
- Imposition of Jail Time
- Increased group or treatment participation
- Inpatient treatment placement
- Termination from the program
- Extension of or Revocation of probation

# **TERMINATIONS**

The Door County Treatment Court shall make termination decisions in accordance with best practice standards. Such decisions may result from a public safety concern, repeated non-compliance with program requirements, or an inability of the Courts to provide the necessary treatment needs of the participant. Treatment Court participants may be terminated for commission of a violent crime, absconding from the program for more than four weeks, evidence a participant is dealing drugs, evidence a participant has been involved in threatening, abusive or violent verbal/ physical behavior towards anyone, or any other grounds seen fit from the Treatment Court Team.

Any Door County Treatment Court Team member may make an oral motion to begin the termination process. Once the oral motion has been made, the Treatment Court Team meets with the participant to gather further information prior of voting or beginning the motion for termination.

At the formal motion for termination, the team agrees on the recommendation and appropriateness for termination. Termination proceedings may only begin if a majority of the team members consent in favor of termination. Following the votethe consent in in favor of termination, a written motion to terminate is filed with the Treatment Court Judge by the District Attorney's Office. In most cases, a hearing is conducted to ensure the participant's due process rights are protected.

A participant may be terminated immediately without notice or the right to be heard if the motion for termination is based on a participant absconding for four or more consecutive weeks, probation revocation, or the inability to physically participate in Treatment Court due to incarceration in another jurisdiction. In such cases, the Treatment Court judge shall sign an Order Granting Motion to Terminate and transfer the case to Branch One Circuit Court for sentencingfurther proceedings. A participant shall be considered an absconder when his or her activities and whereabouts are unknown to the treatment court coordinator, and supervising probation agent.

A participant may choose to not contest termination. If the participant waives the right to be heard, the judge shall sign an Order Granting Motion to Terminate and transfer the case to Branch One Circuit Court without further proceedings.

# **SUSPENSIONS**

A treatment court participant may be suspended from Treatment Court due to continued relapse or new legal charges. If the Treatment Court Team recommends a participant be suspended for new legal charges, the Treatment Court participant cannot attend Treatment Court until their legal charges are resolved. If the Treatment Court participant is referred to a residential treatment facility by the treatment team, their progress or lack of progress is monitored by the treatment provider. Their return to Treatment Court is based upon their discharge status from the treatment center.

How long do we suspend before we terminate (wait lists for tx?) What happens if they discharge unsuccessfully?

# **VOLUNTARY REMOVAL**

A Treatment Court participant does not have the option to withdraw the Treatment Court Program. Successful completion of or expulsion from the Treatment Court Program are the only acceptable means to leave the Treatment Court Program.

# TREATMENT SERVICES

All Treatment Court participants receive individualized assessments, recommendations, and treatment plans. Based on the assessments, the participants are placed in the appropriate level of care. Door County Health and Human Services (DHHS) provides four levels of treatment including; individual counseling, primary treatment group (one time per week), Intensive Outpatient (IOP) (three times per week) and Relapse Prevention Group (one time per week). All DHHS counselors are trained to utilize Motivational Interviewing and Person-Centered therapies. The primary models are Cognitive Behavioral and Rational Emotive Therapies. The Matrix Model is used in the IOP.

# COMMUNITY SUPERVISION

All participants in the Door County Treatment Court must be on community supervision with the Department of Corrections (DOC). The DOC agent engages in the DOC standard service for case management for each participant based off of the DOC's policies and procedures and the agent's assessment. The agent also has the ability to utilize drug and/or alcohol screens for participant's necessary per policies and procedures, in addition to the random testing done through the Treatment Court. The DOC agents participate at each Treatment Court team meeting and status hearing.

# DRUG AND ALCOHOL TESTING PROTOCOL

Door County Treatment Court participation requires random drug and alcohol testing to determine compliance with the rules of the Treatment Court Program. Tests are random and occur a minimum of two times per week throughout the participant's entire program. In keeping with best standards, testing is done at the Door County Jail and observed by trained jail deputies. Utilizing the Door County Jail for testing allows for complete randomization, including nights and weekend testing times.

Positive tests can be challenged for verification and confirmation at the participant's request. Participants will be required to pay for this additional cost. Notifications informing participants of the time frame in which they are to report for testing that day are sent through text messages. Probation agents and treatment providers may also provide drug and/or alcohol screening as necessary per DOC policies and procedures. Participants may also be required to utilize monitoring devices where necessary. Use of monitoring devices will be based on participants alcohol and drug use history.

# **PROGRAM FEES**

Treatment Court participants are charged with a fee that includes costs associated with assessments, fine and court fees, and drug and/or alcohol testing costs. Participants are responsible for restitution if applicable. If the participant is required to have any monitoring equipment, that is an additional fee. Participants on probation are required to pay normal supervision fees as determined by DOC policies and procedures. It is also the participant's responsibility to pay for their treatment costs as determined by the treatment provider. The Treatment Court coordinator assists the participant with the application process to health insurance to assist with treatment costs.

During the participant's time in Treatment Court the participant is required to work with the coordinator to arrange a payment schedule. Establishing a payment schedule happens during Phase Two. If at any point a participant is facing financial hardship, the coordinator works with the participant to suspend fees or set up a minimal fee schedule until the participant is in a better financial situation. Participants are also given the opportunity to complete community service hours to assist in payment of program costs as directed by the judge.

How is this process determined? Do we want a set fee or case by case?

# PARTICIPANT RIGHTS AND GRIEVANCE PROCEDURE

Every Treatment Court participant has a right to utilize the Grievance Policy should a participant have a complaint or feel their rights were violated. The Grievance Procedure is as follows:

## Step 1 - Verbal Discussion

The participant must request a meeting to discuss the matter with the Court Services Coordinator within five business days after the incident occurs. If the participant is not satisfied with the decision or solution of the Court Services Coordinator, the participant may proceed to Step 2.

## Step 2 - Written Complaint

If the participant did not achieve a resolution in Step 1, the participant must put the complaint in writing within five business days following Step 1 and submit the written complaint into the Treatment Court Judge. The Treatment Court Judge will make a formal written decision to the participant within 14 business days. This decision is final and the Court Services Coordinator will also be included in the written response.

What if the complaint is about the coordinator??

# CONFIDENTIALITY

Every Treatment Court participant's identity and privacy will be protected and consistent with federal confidentiality laws (42 United States Code (USC) Section 2990dd-2, and the regulations implementing these laws at 42 Code of Federal Regulations (CFS), Part) and Wisconsin Law. Treatment Courts and confidentiality laws are designed to achieve the shared goal of encouraging those with addictions to alcohol and/or drugs to obtain and remain in treatment. The Door County Adult Treatment Court can only function if information is shared among team members.

If a situation exists in which Treatment Court practices conflict with confidentiality laws, the law prevails. Treatment Court will eliminate any unnecessary conflict by obtaining the participant's consent for information disclosure. The participant will be asked to sign a waiver authorizing the transfer of information among all participating agencies.

Valid consent from a participant allows the use of information in a matter that would otherwise violate federal regulations regarding confidentiality. Individuals referred to alcohol and/or drug treatment programs by the criminal justice system do not have a right to revoke their consent until successful completion of the program. Participants must be informed their consent is irrevocable but not permanent.

The participants should have an opportunity to consult with a lawyer before signing the form.

Whoever presents the form should thoroughly review it with the participant. If the participant cannot understand or read English, a translator will assist. Typically, the Court Services Coordinator obtains signed copies of confidentiality forms and consent to disclose private information forms. The Court Services Coordinator maintains copies of all signed forms in each participant's Treatment Court File.

Regulations require the participant be advised, orally and in writing, that federal law protects the confidentiality of treatment records. The notice must cite Section 290dd-2 and the implementing

regulations (Sections 2.1 through 2.67 of Title 42 of the Code of Federal Regulations) and state:

1. Treatment information is ordinarily kept confidential;

2. It is a crime to violate this confidentiality requirement, which the participant may report to the authorities;

3. Federal law does not protect information relating to crimes committed on the premises of the program, crimes against program personnel, or the abuse or neglect of a child.

The participant may be asked to re-execute the consent. The re-execution should occur as soon as the court is satisfied the participant is not suffering from any effects of being under the influence of alcohol, other drugs, or the withdrawals associated with drug use. Routine re-execution is required when a new entity joins the Treatment Court Team.

Title 42, Section 2.31(a) of the Code of Federal Regulation lists nine elements that must be included in a written consent:

- 1. The specific name or general designation of the problem or person permitted to make the disclosure
- 2. The name or title of the individual or the name of the organization to which disclosure is to be made
- 3. The name of the Door County Adult Treatment Court participant
- 4. The purpose or need for the disclosure
- 5. How much and what kind of information is to be disclosed
- 6. The signature of the patient, or when required for a patient who is incompetent or deceased, the signature of a person authorized to sign under § 2.15 in lieu of the patient
- 7. The date which the consent was signed
- 8. A statement that the consent is subject to revocation at any time except to the extent that the program or person which is to make the disclosure had already acted in reliance on it. Acting in reliance includes treatment services in reliance on a valid consent to disclose information to a third-party payer
- 9. The date, event, or condition upon which the consent will expire if not revoked before. This date, event, or condition must insure that the consent will last no longer than reasonably necessary to serve the purpose for which it is given.

NOTE: The eighth element, concerning the revocation of consent, should not be included in consent forms in criminal Treatment Courts but it must be included in juvenile and family drug court waivers. *See 42 C.F.R. § 2.35*.

Federal confidentiality law and regulations protect any information about a client if the client has applied for or received any alcohol or drug related services from a program that is covered under the law. Services applied for or received can include assessment, diagnosis, individual counseling, group counseling, treatment or referral for treatment. The restrictions on disclosure apply to any information that would identify the client as an alcoholic or other drug abuser, either directly or by implication. The general rule applies from the time the client makes an appointment. It applies to clients who are mandated into treatment as well as those who enter treatment voluntarily. It also applies to former clients or patients. The rule applies whether or not the person making an inquiry already has the

information, has other ways of getting it, has some form of official status, is authorized by State law, or comes armed with a subpoena or search warrant.

Information that is protected by Federal confidentiality regulations may always be disclosed after the client has signed a proper consent form. The regulations also permit disclosure without the client's consent in several situations, including medical emergencies, program evaluations, and communications among program staff. Clients who refuse to sign consent forms permitting essential communications can be excluded from treatment or provided treatment temporarily in the hope that resistance to signing the consent forms will evaporate as treatment proceeds.

All members of the Treatment Court Team must hold information discussed during pretrial interviews, assessments, Treatment Court Treatment Team meetings, Treatment Court status hearings, and treatment sessions in confidence.

No test results or statements made by participants during the above mentioned proceedings, other than pleas of guilty or statements made at termination hearings, shall be admissible against participants in any prosecution other than in Treatment Court proceedings to prove a violation of Treatment Court rules, to establish grounds for termination of a defendant from the Treatment Court program, or to prove a violation of probation based upon termination of a defendant form the Treatment Court program. However, evidence which is discovered either routinely in the course of a criminal investigation, shall not be inadmissible because such evidence is also disclosed or discovered during pretrial interviews, assessments, treatment, or Treatment Court proceedings.

Evaluations, researchers, personnel from other courts, and other individuals requesting visiting the Treatment Court Team meetings and Treatment Court hearings are to place a request at least one week in advance with the Court Services Coordinator for review with the Treatment Court Team. If the individual is approved for visitation, the person is required to sign a confidentiality agreement that is kept on file by the Court Services Coordinator.

# TREATMENT COURT STEERING COMMITTEE

An important part of the implementation and maintenance of the Door County Treatment Court Program is the creation of a Treatment Court Steering Committee to assist and oversee the process of designing an effective Treatment Court. The Treatment Court Steering Committee is a sub-committee of the Door County Criminal Justice Collaborating Counsel Committee. The Steering Committee will discuss program and policy and address necessary needs such as rewards and sanctions, evaluation, legal issues, public relations, and treatment team needs. Members of the Steering Committee include:

- David L. Weber, Branch 2 Circuit Court Judge, Door County
- Colleen Nordin, District Attorney, Door County District Attorney's Office
- Tara Teesch, Public Defender, State Public Defender's Office
- Alyssa Liebergen, Corrections Field Supervisor, Wisconsin Department of Corrections
- Tammy Sternard, Sheriff, Door County Sheriff's Office
- Joe Krebsbach, Director, Door County Health and Human Services
- Donna Altepeter, Behavior Health Manager, Door County Health and Human Services
- Stephen Seyfer, Retired School Superintendent

• Kelsey Christensen, Court Services Coordinator, Door County Health and Human Services

# FORMS MEMORANDUMS OF UNDERSTANDING

# MEMORANDUM OF UNDERSTANDING DOOR COUNTY TREATMENT COURT PROGRAM

This memorandum of understanding is made by and between the Door County Treat Court Program, Department of Health and Human Services, Department of Corrections, District Attorney's Office, Public Defender's Office, Sheriff's Office, Sturgeon Bay Police Department, and State of Wisconsin Circuit Court.

The parties to this agreement agree that the following should be the mission of the Treatment Court: "The mission of the Door County Treatment Court is to use an evidence-based judicial process to enhance public safety and restore sober, productive, and law-abiding citizens to the community."

The parties to this agreement support and endorse the goals and mission of Treatment Court in order for participants to eliminate future criminal behavior, improve the quality of their lives and the wellbeing of the community. In keeping with best practice, the parties to this agreement are also expected to work together to comply with grant and state regulations, and maintain confidentiality of each participant in the Treatment Court.

For this program to be successful, cooperation must occur within a network of systems in order to facilitate and achieve the mission of Treatment Court. To achieve this the parties to this agreement, recognize that each has a responsibility to attend all Treatment Court Team staffings, meetings, and Treatment Court hearings. The parties agree to ongoing communication and the sharing of information and participant progress with all parties to this agreement in a timely fashion. During each staffing, each team member recommends appropriate sanctions and incentives.

# THEREFORE, IT IS AGREED THE FOLLOWING SHALL BE THE INDIVIDUAL AGENCY RESPONSIBILITIES AND STAFF COMMITMENTS:

## THE DEPARTMENT OF HEALTH AND HUMAN SERVICES:

#### TREATMENT PROVIDER:

- 1. Provide treatment using evidenced based practices
- 2. Conduct and verify assessments and referrals as needed
- 3. Monitor progress of participant through Stages of Change
- 4. Establish rapport with participant

- 5. Ensure signing of all confidential releases required for communication with Treatment Court Team
- 6. Coordinate/Advocate for pro-social activities

#### Ethical Considerations

- The Treatment Provider must keep its integrity to the client
- The Treatment Provider must have a valid release of information executed before sharing patient information
- The Treatment Provider only needs to share information that is pertinent to court requirements (i.e.; attendance, testing results, where client is in treatment, changes in client treatment)

## COURT SERVICES COORDINATOR:

- 1. Evaluate potential participants for eligibility
- 2. Conduct assessments and intake
- 3. Make referrals for programming as needed
- 4. Verify & monitor participant compliance with program and treatment requirements
- 5. Collect all relevant information and distribute at Treatment Court team meetings
- 6. Coordinate and monitor all Treatment Court drug testing
- 7. Gather and input data for evaluator
- 8. Monitor data management system
- 9. Supervise day-to-day operations
- 10. Maintain a confidential file on each participant
- 11. Monitor budget and financial management
- 12. Review policies and practices and monitor need for changes
- 13. Facilitate team/staff meetings
- 14. Set agenda for meetings
- 15. Act as community liaison
- 16. Help coordinate funding sources/Grant Writing/Grant Management

#### Ethical Considerations

• The Coordinator must be knowledgeable of each team members ethical boundaries and how they are incorporated into the treatment court

## THE DEPARTMENT OF CORRECTIONS:

- 1. Provide community supervision of each participant
- 2. Complete COMPAS assessment if not already completed
- 3. Make referrals to community resources
- 4. Carry out drug testing per standard DOC policy
- 5. Perform home/school/employment visits

- 6. Monitor compliance through meetings with collateral contacts
- 7. Monitor and encourage participant's compliance with programs
- 8. Impose sanctions and rewards
- 9. Work with DA's office and Defense Attorney to identify potential participants for Alternatives to Revocation
- 10. Gather data for the evaluator

#### Ethical Considerations

- The Probation Officer must make decisions to protect public safety
- The Probation Officer must inform the court of non-compliance with judicial orders in a timely manner

## THE DISTRICT ATTORNEY'S OFFICE:

- 1. Review potential participants for eligibility
- 2. Make referrals to Treatment Court
- 3. Maintain a non-adversarial role during Treatment Court proceedings
- 4. Ensure compliance with State law
- 5. Negotiate and complete plea agreements on behalf of the State
- 6. Educate peer professionals on effectiveness of program and changes in state law that affect the program
- 7. Act as community advocate for effectiveness of program
- 8. Make recommendations for charging and/or sentencing after revocation if the participant is terminated from the program
- 9. Work with Defense Attorney and DOC to identify potential participants

#### Ethical Considerations

- The Prosecutor must make decisions to protect public safety
- The Prosecutor must advocate for the victims' interest

## THE PUBLIC DEFENDER'S OFFICE:

- 1. Discuss pros and cons with potential participant before entering Treatment Court
- 2. Review cases for potential legal issues
- 3. Discuss resolution of case with District Attorney before entering Treatment Court
- 4. Remain accessible to participant
- 5. Advocate for fair process
- 6. Be prepared to practice a different kind of law in non-adversarial manner
- 7. Facilitate representation for the participant in termination proceedings if eligible

#### Ethical Considerations

- The Public Defender must demonstrate integrity to the client
- The Public Defender must protect attorney/client privilege
- The Public Defender must insure the client's due process rights are protected

## **DOOR COUNTY SHERIFF'S OFFICE AND STURGEON BAY POLICE DEPARTMENT:**

- 1. Assist DOC in home visits and checks on participants
- 2. Allow for incarcerated participants to attend treatment and jail services as requested by the participant and the Treatment Court Team
- 3. Facilitate Treatment Court attendance and supervision for incarcerated participants

#### Ethical Considerations

- Law Enforcement must protect public safety
- Law Enforcement is sworn to uphold the law and serve their community

## THE DOOR COUNTY CIRCUIT COURT:

#### JUDGE:

- 1. Preside over courtroom proceedings
- 2. Explain legal rights, options, and program requirements at orientation and confirm them with each participant as they enter the program
- 3. Impose appropriate incentives and sanctions
- 4. Review treatment progress and address it directly with the participant in Court, considering the recommendations of the Treatment Court Team
- 5. Supervise Treatment Court administration
- 6. Ensure compliance with Treatment Court program rules by participants
- 7. Provide final decision in outcome of participant's progress or termination

#### Ethical Considerations

- The Judge must show impartiality
- The Judge must be aware of ex parte communication
- The Judge must demonstrate judicial authority
- The Judge must not give up their final decision-making authority
- The Judge must recognize constitutional rights and follow appropriate legal processes

## EVALUATOR:

- 1. Evaluate process and outcomes
- 2. Give information to help improve the Treatment Court and make it more successful
- 3. Help facilitate team understanding of areas needing improvement
- 4. Set up evaluation process what and how information will be collected and maintained, how analyzed, etc.

## **EMPLOYMENT REPRESENTATIVE:**

- 1. Provide participant educational and vocational resources where necessary
- 2. Oversee participant's vocational and educational programming
- 3. Serve as liaison between Treatment Court and employment community

## **RECOVERY COMMUNITY RESPRESENATIVE:**

- 1. Connect participants to recovery-supportive resources that are instrumental to sustaining recovery
- 2. Serve as a liaison to formal and informal community supports, resources, and recoverysupporting activities

## CONFIDENTIALITY

All parties agree assigned participants of their respective agencies shall attend all scheduled Treatment Court staffings and Treatment Court meetings. They shall maintain the confidentiality of the details and content of the Treatment Court Team discussions, and the votes of the individual Treatment Court Team members. No Treatment Court Team member shall disclose this confidential information to any third person absent agreement by the majority of the Treatment Court Team members, or pursuant to court order. No agency or Treatment Court Team member shall disclose confidential information regarding participants without the consent of such participant and approval of the Treatment Court Team.

## AGREEMENT MODIFICATIONS

Any individual agency wishing to amend/modify this agreement will notify the Treatment Court Team. The Treatment Court Team will address the issue(s) for purposes of modifying/amending the issue(s). The issue(s) will be decided by consensus (if possible) or by simple majority.

## TERMINATION OF AGREEMENT

Individual agencies contemplating termination of their participation in this agreement shall first notify the Treatment Court Team of their concern. The Treatment Court Team will attempt to resolve the problem to ensure continuation of the Treatment Court. If unable to resolve the problem, the individual agency or department can exercise its right to terminate this agreement by notifying all other agencies in writing a minimum of 90 days prior to such termination.

DHHS - TREATMENT PROVIDER

DATE

DHHS – COURT SERVICES COORDINATOR	DATE
DEPARTMENT OF CORRECTIONS	DATE
DISTRICT ATTORNEY'S OFFICE	DATE
PUBLIC DEFENDER'S OFFICE	DATE
DOOR COUNTY SHERIFF'S OFFICE	DATE
STURGEON BAY POLICE DEPARTMENT	DATE
CIRCUIT COURT JUDGE	DATE
EVALUATOR	DATE
EMPLOYMENT REPRESENTATIVE	DATE
RECOVERY COMMUNITY REPRESENTATIVE	DATE

# PARTICIPANT CONTRACT

